

SWARAJ ENGINES LIMITED

Works :
Plot No. 2, Indl. Focal Point,
Phase IX, S.A.S. Nagar,
Distt. S.A.S. Nagar (Mohali)
(Near Chandigarh)

SWARAJ

02/SP/EXCH
24th October, 2019

Tel. : 0172-2234941-47, 2234950
Fax : +91-172-2234955
Email: mail@swarajenterprise.com

BSE Limited
Listing Department
P.J. Towers, 1st Floor,
Dalal Street, Fort,
Mumbai – 400 001
Email: corp.relations@bseindia.com

National Stock Exchange of India Limited

Capital Market-Listing, Exchange Plaza,
Bandra Kurla Complex,
Bandra (E),
Mumbai- 400051
Email: cmlist@nse.co.in

Scrip Code: 500407

Scrip Name: SWARAJENG

SUB: Receipt of Disclosure in terms of Regulation 29(1) of SEBI (SAST) Regulations, 2011 (“the Regulation”)

Dear Sir,

We wish to inform you that the Company has received a disclosure from DSP Trustee Private Limited on 22nd October, 2019 under Regulation 29(1) of the SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

While the aforesaid does not require any disclosure from the Company under the Regulations, the Company, out of abundant caution, is submitting herewith the copy of the said disclosure received from DSP Trustee Private Limited under the said Regulations.

Kindly acknowledge receipt.

With regards,

For SWARAJ ENGINES LTD.



(M.S.GREWAL)
Company Secretary

Encl: As above

DSP

TRUSTEE

October 18, 2019

Swaraj Engines Limited
Phase IV, S A S Nagar,
Dist Mohali, Industrial Area,
Ropar, Chandigarh,
Chandigarh -160055.

Dear Sir / Madam,

Sub: Reporting under SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

DSP Trustee Private Limited ('DSPTPL') is the Trustee for the schemes launched by DSP Mutual Fund ('DSPMF') and DSP Alternative Investment Fund ('DSPAIF'). DSPTPL on behalf of the schemes of DSPMF has acquired equity shares of Swaraj Engines Limited ('Company').

One of the scheme viz. DSP Small Cap Fund has acquired 104,284 shares of the Company due to which the shareholding in the Company across various schemes under DSPMF and DSPAIF has increased beyond 5% of the paid up capital of the Company. The current shareholding of the Schemes in the Company is 5.46%.

Since post allotment the holding across various schemes has increased above 5%, we enclose herewith the report under 29(1) of Reporting under SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011. (Annexure-1)

We trust you find the above in order.

Thanking you,

Sincerely,
For DSP Trustee Private Limited

For Pritesh

Pritesh Majmudar (Dr.)
Compliance Officer and Company Secretary
DSP Investment Managers Private Limited



Encl: As Above

DSP Trustee Private Limited

(Formerly known as DSP BlackRock Trustee Company Pvt. Ltd.)

Regd. Off.: Mafatia Centre, 10th Floor, Nariman Point, Mumbai 400 021, India

CIN: U65991MH1996PTC100444 • +91 22 6657 8000 • www.dspim.com

Format for Disclosures under Regulation 29(1) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

Part-A- Details of the Acquisition

Name of the Target Company (TC)	Swaraj Engines Limited		
Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	DSP Trustee Private Limited on behalf of schemes of DSP Mutual Fund and DSP Alternative Investment Fund.		
Whether the acquirer belongs to Promoter/Promoter group	No		
Name(s) of the Stock Exchange(s) where the shares of TC are Listed	BSE Limited and National Stock Exchange of India Limited.		
Details of the acquisition as follows	Number	% w.r.t. total share/voting capital wherever applicable (*)	% w.r.t. total diluted share/voting capital of the TC (**)
Before the acquisition under consideration, holding of :			
a) Shares carrying voting rights	558,082	4.60	4.60
b) Shares in the nature of encumbrance (pledge/lien/non-disposal undertaking/ others)	--	--	--
c) Voting rights (VR) otherwise than by equity shares	--	--	--
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category)	--	--	--
e) Total (a+b+c+d)	558,082	4.60	4.60
Details of acquisition			
a) Shares carrying voting rights acquired	104,284	0.86	0.86
b) VRs acquired otherwise than by equity shares	--	--	--
c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify	--	--	--



holding in each category) acquired			
d) Shares in the nature of encumbrance (pledge/lien/non-disposal undertaking/ others)	--	--	--
e) Total (a+b+c+d)	104,284	0.86	0.86
After the acquisition, holding of acquirer along with PACs of:			
a) Shares carrying voting rights	662,366	5.46	5.46
b) VRs otherwise than by equity shares	--	--	--
c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition	--	--	--
d) Shares in the nature of encumbrance (pledge/lien/non-disposal undertaking/ others)	--	--	--
e) Total (a+b+c+d)	662,366	5.46	5.46
Mode of acquisition (e.g. open market / public issue / rights issue /preferential allotment / inter-se transfer/encumbrance, etc.)	Open Market		
Salient features of the securities acquired including time till redemption, ratio at which it can be converted into equity shares etc.	Ordinary Equity Shares		
Date of acquisition of/ date of receipt of intimation of allotment of shares /VR/ warrants/convertible securities/any other instrument that entitles the acquirer to receive shares in the TC.	October 17, 2019		
Equity share capital / total voting capital of the TC before the said acquisition	12,131,040 shares (Paid up Capital Rs. 121,310,400)		
Equity share capital/ total voting capital of the TC after the said acquisition	12,131,040 shares (Paid up Capital Rs. 121,310,400)		
Total diluted share/voting capital of the TC after the said acquisition	12,131,040 shares (Paid up Capital Rs. 121,310,400)		



Part-B

Name of the Target Company: Swaraj Engines Limited

Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	Whether the acquirer belongs to Promoter/ Promoter group	PAN of the acquirer and/ or PACs
DSP Trustee Private Limited on behalf of schemes of DSP Mutual Fund and DSP Alternative Investment Fund	No	AAACD3070G

For DSP Trustee Private Limited

For Pritesh

Pritesh Majmudar (Dr.)

Compliance Officer and Company Secretary

DSP Investment Managers Private Limited



Place: Mumbai

Date: October 18, 2019

Note:

(*) Total share capital/ voting capital to be taken as per the latest filing done by the company to the Stock Exchange under Clause 35 of the listing Agreement.

(**) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.